# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL

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|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

|   |               |        | or Section 30(h) of the Investment Company Act of 1940   |  |  |                       |  |  |  |
|---|---------------|--------|--|--|--|-----------------------|--|--|--|
| 1. Name and Address of Reporting Person <sup>*</sup><br>Eberwein Jeffrey E. |               | erson* | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Hudson Global, Inc. [HSON]   |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)                     |                       |  |  |  |
| <u>EDerwein Jei</u>   | <u>пеу с.</u> |        | <u> </u>   | X  | Director   | 10% Owner             |  |  |  |
|   |               |        | 2. Issuer Name and Ticker or Trading Symbol 5. Relative   Hudson Global, Inc. [ HSON ]   3. Date of Earliest Transaction (Month/Day/Year) X   0 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individe Line)   X X                   | Officer (give title  | Other (specify   |                       |  |  |  |
| (Last) (First) (Middle)<br>53 FOREST AVENUE, SUITE 102                      |               | ( )    |  |  | ,  | ,                     |  |  |  |
| (Street)<br>OLD   |               |        | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |  | vidual or Joint/Group Fil  | ing (Check Applicable |  |  |  |
| GREENWICH   | CT            | 06870  | Hudson Global, Inc. [HSON] (Check all app<br>X Direc<br>X Office<br>below   3. Date of Earliest Transaction (Month/Day/Year)<br>05/12/2021 C   4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or<br>Line)   X Form<br>Form | Form filed by One Re   | eporting Person  |                       |  |  |  |
|   |               |        |  |  | A below) below)<br>Chief Executive Officer<br>Individual or Joint/Group Filing (Check A<br>ne) | nan One Reporting     |  |  |  |
| (City)  | (State)       | (Zip)  |  | (Month/Day/Year) X below) Chief Executiv   inal Filed (Month/Day/Year) 6. Individual or Joint/Group File   X Form filed by One R   Form filed by More ti |  |                       |  |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |  | 4. Securities<br>Disposed Of |                     |                        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|-----------------------------|--|------------------------------|---------------------|------------------------|---|---|---|
|                                 |  |   |                             |  | Amount                       | (A) or<br>(D) Price |                        | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |
| Common Stock                    | 05/12/2021                                 |   | P <sup>(1)</sup>            |  | 500                          | A                   | \$18.65                | 134,653   | D   |   |
| Common Stock                    | 05/13/2021                                 |   | P <sup>(1)</sup>            |  | 500                          | A                   | \$18.69 <sup>(2)</sup> | 135,153   | D   |   |
| Common Stock                    | 05/14/2021                                 |   | P <sup>(1)</sup>            |  | 500                          | A                   | \$17.88                | 135,653   | D   |   |
| Share Units <sup>(3)</sup>      |  |   |                             |  |                              |                     |                        | 57,326  | D   |   |
| Share Units <sup>(4)</sup>      |  |   |                             |  |                              |                     |                        | 27,156  | D   |   |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   | (   |  |   |                              |   |  |  |                     |   |       |   |  |  |  |  |
|---|---|--|---|------------------------------|---|--|--|---------------------|---|-------|---|--|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Dispo<br>of (D<br>(Insti | erivative (Month/Day/Year)<br>ecurities<br>ccquired<br>A) or<br>bisposed |                     | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |   | Code                         | v | (A)  | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |

#### Explanation of Responses:

1. The purchase of the shares reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan entered into by Mr. Eberwein.

2. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$18.65 to \$18.70, inclusive. Mr. Eberwein undertakes to provide the Issuer and any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price such shares were purchased.

3. Share Units credited to the Reporting Person's account under the Issuer's 2009 Incentive Stock and Awards Plan, as amended and restated, as of August 3, 2020. Each Share Unit is the economic equivalent of one share of Common Stock. Share Units are payable only in Common Stock upon the later to occur of (i) the satisfaction of certain performance vesting conditions and (ii) up to 90 days after the Reporting Person's separation from service.

4. Share Units credited to the Reporting Person's account under the Hudson Global, Inc. Director Deferred Share Plan. Each Share Unit is the economic equivalent of one share of Common Stock. Share Units are payable only in Common Stock up to 90 days after a director's separation from service.

## Remarks:

/s/ Jeffrey E. Eberwein

\*\* Signature of Reporting Person Date

05/14/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

